

Mail Stop: Amendment  
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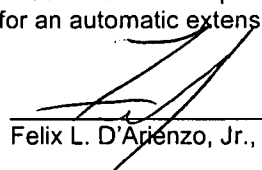
Docket No.: 874.1001  
Date: June 7, 2007

In re application of: **Andrew T. HECHT**  
Serial No.: 10/689,833  
Filed: October 20, 2003  
For: **METHOD FOR VALUING FORWARDS, FUTURES, AND OPTIONS ON REAL ESTATE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (1 page)** in the above-identified application.

- ☒ Also transmitted herewith are:  
[ ] Petition for extension under 37 C.F.R. 1.136  
[X] Return receipt postcard  
[X] Other: - **PTO-1449 form (1 page) and copies of cited references**
- [ ] Check(s) in the amount of **\$0.00** is/are attached to cover:  
[ ] Filing fee for additional claims under 37 C.F.R. 1.16  
[ ] Petition fee for extension under 37 C.F.R. 1.136  
[ ] Fee set forth in 37 C.F.R. §1.16(f)  
[ ] Other:
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 C.F.R. 1.136.

  
Felix L. D'Arienzo, Jr., Reg. No. 27,631

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I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on June 7, 2007.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 

Liana Chirila



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/689,833  
Applicant: Andrew T. HECHT  
Attorney Docket: 874.1001  
Filing Date: October 20, 2003  
Title: **METHOD FOR VALUING FORWARDS, FUTURES,  
AND OPTIONS ON REAL ESTATE**

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June 7, 2007

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

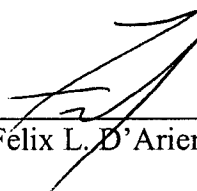
This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action. Therefore, no fee is believed due. In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

Applicants respectfully request that the references cited in the accompanying PTO-1449 form be considered and made of record. Applicants respectfully submit that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By

  
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## LIST OF REFERENCES CITED BY APPLICANT



ATTY DOCKET NO.

APPLICATION NO.

874.1001

10/689,833

APPLICANT

Andrew T. HECHT

FILING DATE

GROUP

October 20, 2003

3628

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME OF INVENTOR	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01					
	A02					
	A03					
	A04					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	APPLICANT	COUNTRY	TRANSLATION
	A05				
	A06				
	A07				
	A08				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

/E.B./	A09	Frischer, Paul et al., <i>Real Estate Equity and Index Concept</i> , UBS Paine Webber, March 2003
/E.B./	A10	Bjork, T. et al., <i>A Note on the Pricing of Real Estate Index Linked Swaps</i> , SSE/EFI Working Paper Series in Economics and Finance, No. 492, February 13, 2002
/E.B./	A11	Feinstein S. et al., <i>A Future for Real Estate Futures: Potential Applications of Derivatives in Real Estate Investment and Finance</i> , Sponsored Research Prepared for the Real Estate Investment and Finance Association, A Division of the Greater Boston Real Estate Board, May 2000
/E.B./	A12	Fisher, J. et al., <i>Development of an Effective Rent Index for U.S. Office Space (1982-1990)</i> Current draft: March 19, 1992
/E.B./	A13	Chen, John, Structured Financial, Special Report, <i>CMBS: Moody's Approach to Rating Cross-Collateralized and Cross-Defaulted Loans</i> , February 9, 2001
/E.B./	A14	Wheaton, W. et al., <i>The CB Commercial/Torto Wheaton Database</i> , Journal of Real Estate Literature, 5:59-66, 1997.
	A15	
	A16	
	A17	

EXAMINER /Edward Baird/

DATE CONSIDERED 02/19/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.